

Project Name: Healthcare Facilities Financial Disclosure Reporting
OCIO Project #:
Department: OSHPD
Revision Date: 9/13/10

Concept Statement

Description

Brief description of the proposed project:

Enhance the on-line financial edits and corrections system, (now known as SIERA) by developing a self-serve website that allows hospitals and long term care facilities to view and update their financial reports, discover and manage their reporting anomalies and to add comments before submitting them to OSHPD. This project will also integrate the online system for the submission of hospital quarterly financial disclosure reports. The hospital quarterly financial reporting system needs to be moved to supported technology and incorporated into the new Siera framework. This internal consolidation project will put all financial disclosure applications on one platform.

Need Statement

High Level Capabilities Needed:

A secure on-line system is needed that allows healthcare facilities to submit and manage their own financial disclosure reports submissions. OSHPD audit staff also need to manage the processing of financial disclosure reports from submission to completion. The legacy quarterly financial application must also be integrated into the new SIERA system. This internal consolidation project will put all financial disclosure applications on one platform.

What is Driving This Need?

Stakeholders are requesting a more self-service website to reduce the time it takes to submit their reports. This is also a great opportunity to integrate overlapping financial disclosure systems into one enterprise system. Furthermore, the "Quarterly" financial disclosure system is using obsolete technology and is increasingly difficult to use and maintain.

Risk to the Organization if This Work is Not Done:

Failure to implement will result in continued manual processing of incoming reports and reporting extensions. This will prevent OSHPD and healthcare staff from managing the process efficiently. Furthermore, the quarterly financial disclosure system is using obsolete technology and is increasingly difficult to use and maintain.

Project Name: Healthcare Facilities Financial Disclosure Reporting

OCIO Project #:

Department: OSHPD

Revision Date: 9/13/10

Concept Statement

Benefit Statement

Intangible Benefits

Process Improvements (describe the nature of the process improvement):

Implementation of will result in increased quality, timeliness, accessibility, and transparency of annual financial data, which will benefit all stakeholders.

Other Intangible Benefits:

Tangible Benefits

Revenue Generation (describe how revenue will be generated):

None.

Cost Savings (describe how cost will be reduced):

Stakeholders time and state resources will be used more efficiently and effectively.

Cost Avoidance (describe the cost and how avoided):

Project Name: Healthcare Facilities Financial Disclosure Reporting

OCIO Project #:

Department: OSHPD

Revision Date: 9/13/10


Concept Statement

Risk Avoidance (describe the risk and how avoided):

Improved Services:

Implementation will allow annual and quarterly report preparers to view, validate, and update submitted reports on-line; and will allow OSHPD staff to manage reports from submission to completion, to view/update submitted reports, to manage workload, and to provide management reports using the current SIERA system.

Consistency

"No" Responses 		Rationale	Action Required
Enterprise Architecture	Yes		
Business Plan	Yes		
Strategic Plan	Yes		

Impact to Other Agencies

Nature of Impact to Other Agencies

Agency:

Describe the nature of the impact:

Implementation will result in more timely and accurate data being available to stakeholders, including the Department of Health Care Services, whose Medi-Cal Cost Report for long-term care facilities is integrated with the OSHPD annual disclosure report and uses quarterly report data to allocate Tobacco Tax Funds to hospitals.

CA - PMM

Project Name: Healthcare Facilities Financial Disclosure Reporting

OCIO Project #:

Department: OSHPD

Revision Date: 9/13/10

Concept Statement

Agency:

Describe the nature of the impact:

Agency:

Describe the nature of the impact:

Agency:

Describe the nature of the impact:

Solution Alternatives

Alternative 1:

Complete the project as planned. Integrate the new functionalities into the SIERA architecture. This would be done with in-house staff developers. This will require a budget action.

Technical Considerations for Alternative 1:

None

ROM Cost: 2.5 M to 3.5 M

Note: high end of range must not exceed 200% of low end of range

Alternative 2:

Do nothing

CA - PMM

Project Name: Healthcare Facilities Financial Disclosure Reporting

OCIO Project #:

Department: OSHPD

Revision Date: 9/13/10

Concept Statement

--

Technical Considerations for Alternative 2:

Quarterly Financial system is using obsolete technology which will become increasingly hard to use and maintain.
--

ROM Cost: \$0 to

Note: high end of range must not exceed 200% of low end of range

Alternative 3:

--

Technical Considerations for Alternative 3:

--

ROM Cost: to

Note: high end of range must not exceed 200% of low end of range

Recommendation

Comparison:

Alternative 1	ROM Cost	Risk
	2.5 M - 3.5 M	
Alternative 2	ROM Cost	Risk
	-	
Alternative 3	ROM Cost	Risk
	-	

CA - PMM

Project Name: Healthcare Facilities Financial Disclosure Reporting

OCIO Project #:

Department: OSHPD

Revision Date: 9/13/10

Concept Statement

Conclusions:

1	Alternative 1
2	
3	
4	

Recommendation:

Build a secure online system that allows healthcare facilities to submit and manage their own financial disclosure reports submissions. The system should allow OSHPD audit staff to manage the processing of financial disclosure reports from submission to completion. The legacy quarterly financial application must also be integrated into the new SIERA system. This internal consolidation project will put all financial disclosure applications on one platform.

Concept Approach (if known)

System Complexity:			System Business Hours: (e.g., 24x7, 9am-5pm) :			
Architecture	<input type="checkbox"/> Mainframe	<input type="checkbox"/> Client Server	<input checked="" type="checkbox"/> Web Based		Num. of New Databases:	0
Technology	<input type="checkbox"/> New	<input type="checkbox"/> New to Staff	<input checked="" type="checkbox"/> In-House Experience		Interfaces:	Internal
Implementation	<input checked="" type="checkbox"/> Central Site	<input type="checkbox"/> Phased Roll-out			Num. of Sites:	0
M & O Support	<input type="checkbox"/> Contractor	<input type="checkbox"/> Data Center	<input checked="" type="checkbox"/> Project	<input type="checkbox"/> Returned to Sponsor		
Procurement Approach: (consult with OSI Procurement Center) Leverage Procurement. May require expert consultants, new hardware or software.						Number of Procurements:
Open Procurement?		Yes		Delegated Procurement?		
				Yes		
Scope of Contract	<input type="checkbox"/> Development	<input type="checkbox"/> Implementation	<input type="checkbox"/> M & O	<input type="checkbox"/> Other:		
Anticipated Length of Contract:		Years /		extensions for		years